



UNITED STATES PATENT AND TRADEMARK OFFICE

4A

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/802,618

03/17/2004

Jens Staecker

2003 P 50524 US

4580

48154

7590

10/17/2006

SLATER & MATSIL LLP
17950 PRESTON ROAD
SUITE 1000
DALLAS, TX 75252

EXAMINER

NGUYEN, ANTHONY H

ART UNIT

PAPER NUMBER

2854

DATE MAILED: 10/17/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/802,618	Applicant(s) STAECKER ET AL.	
	Examiner Anthony H. Nguyen	Art Unit 2854	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01 August 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-13,22,23 and 28-40 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-13,22,23 and 28-40 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Objections

Claims 22-23, 28-31 and 40 are objected to because it is unclear how the wafer is aligned in "a radial direction" on the a chuck since the radial direction is not defined.

Claim Rejections - 35 U.S.C. § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) a patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 4-6, 22, 23, 28,29 and 40 are rejected under 35 U.S.C. 102(b) as being anticipated by Chen (US 2002/0170880) in view of Mori et al. (US 5,268,744).

With respect to claims 1, 22 and 23, Chen teaches an arrangement for transferring information/structure and method of manufacturing a semiconductor wafer having a substrate 8 which is positioned and fixed on a chuck 26, a stamp 62 positioned over the substrate having elevated structure 66 serving as information/structure to be transferred to a patterning layer 6 on the substrate and a pair of alignment marks 68,70. The elevated structures of the stamp are physically contacted with the patterning layer of the substrate as shown in the step 88 (Chen, Fig.4). Chen does not clearly teach the plurality of infrared light sources and a plurality of infrared cameras. Mori et al. teaches the use of infrared light sources 4 and 8 for positioning a

Art Unit: 2854

wafer 2 on a stage or chuck 102 (Moris et al., Fig.5 and col.10, lines 31-36 and col.11 lines 30-35). The use of infrared camera as a sensor for receiving an infrared light from an infrared light source is conventional. In view of the teachings of Moris et al. and the conventional use of an infrared camera as a sensor, it would have been obvious to one of ordinary skill in the art to modify the arrangement for transferring information of Chen by providing the infrared light sources for positioning system as taught by Moris et al. and the conventional use of an infrared camera to improve the efficiency and accuracy of positioning a wafer fixed on a chuck. Also, note that the broad provision of a plurality of a single element taught by the Chen or the prior art has long been held to be an obvious expedient. With respect to claims 4 and 40, the use of an infrared laser as an infrared light source is well known in the art. With respect to claims 5 and 6, the stamp 62 of Chen is fixed to a three-dimensionally positionable stamp mount 60 (Chen, Fig.3 and paragraph [0036]).

Claims 2,3, 7-13 and 30-39 are rejected under 35 U.S.C. § 103 (a) as being unpatentable over Chen in view of Mori et al. as applied to claims 1, 4- 6, 22, 23, 28,29 and 40 above, and further in view of Farrar et al. (US 2002/0076896).

With respect to claims 2 and 34, Chen and Moris et al. teach all that is claimed, except the alignment marks which are metal marks. Farrar et al. teaches the alignment marks which are the metal marks 200 formed in the substrate 10 for aligning the substrate 10 so that a trench 210 can be formed in the substrate. In view of the teaching of Farrar et al., it would have been obvious to one of ordinary skill in the art to modify the alignment marks of Chen and Moris et al. by substituting the metal marks as taught by Farrar et al. to permit more precise control the position of a stamp over the desired position on a substrate or a wafer in place of the alignment

Art Unit: 2854

marks 68, 70 of Chen. With respect to claims 7,8, 30 and 31, the use of a chuck having a device for accepting/transferring the substrate from /to a handler is conventional. With respect to claims 9-12, 32,33, 37 and 38, the selection of the desired material, the dimension and the size of the substrate for the arrangement would be obvious through routine experimentation in order to get best possible patterns quality on a patterning layer. With respect to claim 13 the use of a photolithographic process in conjunction with an etching process is well known in the art.

Conclusion

The patents to Shima and Nei et al. are cited to show other structures and methods having obvious similarities to the claimed structure and method.

Response to Arguments

Applicants' arguments filed on February 02, 2004 have been fully considered but they are not persuasive of any error in view of the new ground(s) of rejection(s).

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action.

Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

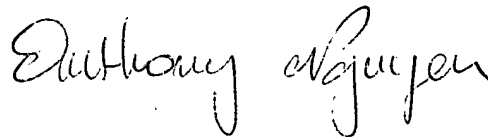
Art Unit: 2854

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anthony Nguyen whose telephone number is (571) 272-2169.

The examiner can normally be reached daily from 9 AM to 5PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Judy Nguyen, can be reached on (571) 272-2258.

The fax phone number for this Group is (571) 273-8300.

A handwritten signature in cursive script that reads "Anthony Nguyen".

Anthony Nguyen
10/13/2006
Patent Examiner
Technology Center 2800